

# PROBABILITY QUANTIZATION FOR MULTIPLICATION-FREE BINARY ARITHMETIC CODING \*

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## EXTENDED ABSTRACT:

The binary arithmetic code is a crucial element of many practical state-of-the-art lossless and lossy compression schemes. The basic idea behind binary arithmetic coders is to encode a sequence of 0's and 1's into a code string that represents a binary fractional value. This value is obtained by successively dividing a base interval into two parts according to some estimate of the symbol probabilities, and then selecting the interval that corresponds to the symbol sent.

The key to an efficient implementation of the binary arithmetic coding procedure is to avoid performing the time-consuming multiplication and division operations in the probability update for each binary symbol sent. IBM's QM-coder [1] keeps track of two fixed-length registers  $A$  and  $C$ , where  $A$  represents the size of the current interval, and  $C$  indicates the base of the current interval. By means of a normalization process  $A$  and  $C$  are kept within a specific range. By a simple approximation that requires  $A$  to be in the range of  $0.75 < A < 1.5$ , the QM-coder replaces multiplications with simple additions and subtractions. Witten et. al. proposed a more intuitive approach to perform binary arithmetic coding [2]. Witten's binary arithmetic coding procedure keeps track of two values *high* and *low*, where *high* and *low* correspond to the top and the bottom of the current interval. Witten suggested to constrain the probability of the less probable symbol to the nearest integral power of  $\frac{1}{2}$ , so that multiplications can be replaced by simple shifts. Using a binary entropy argument, Witten tabulated the optimal probability ranges for each power of  $\frac{1}{2}$ , and showed that the worst-case efficiency is about 95.0% (Figure 1).

In this article we improve upon Witten's results by approximating the probability of the less probable symbol with a fraction of the form  $2^{-l}$  or  $2^{-l-1} + 2^{-l-2}$  for  $l = 1, 2, \dots$ . It is easy to show that multiplying a number by  $2^{-l-1} + 2^{-l-2}$  is equivalent to right-shifting it by  $l + 0.415$  bits. Computationally this corresponds to replacing a multiplication operation with 2 shifts and an add. As we will show later, this scheme improves the worst-case coding efficiency to 98.5%.

The following is a sketch on how to optimally quantize the probability of the less probable symbol to achieve the aforementioned computational efficiency. We use a similar

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approach as Witten's. Let  $p$ ,  $0 < p \leq 0.5$ , be the true probability of the less probable symbol. The question is to choose a step-wise probability quantization function  $Q(p)$  of  $p$  such that the average code length per symbol, namely  $p \log_2(Q(p)) + (1-p) \log_2(1-Q(p))$ , is minimized. The design of  $Q(p)$  is complexity-driven, not performance-driven. However as we will show later, that we do not sacrifice much by quantizing  $p$  into the form  $2^{-l}$  or  $2^{-l-1} + 2^{-l-2}$  for  $l = 1, 2, \dots$ . We examine two different cases.

Case 1:  $2^{-l-1} + 2^{-l-2} < p \leq 2^{-l}$

This corresponds to finding the breakpoint  $p'$  such that

$$p'(l + 0.41504) + (1 - p') \log_2(1 - 2^{-l-1} - 2^{-l-2}) = p'l + (1 - p') \log_2(1 - 2^{-l}).$$

Case 2:  $2^{-l-1} < p \leq 2^{-l-1} + 2^{-l-2}$

This corresponds to finding the breakpoint  $p'$  such that

$$p'(l + 1) + (1 - p') \log_2(1 - 2^{-l-1}) = p'(l + 0.41504) + (1 - p') \log_2(1 - 2^{-l-1} - 2^{-l-2}).$$

We use the same performance efficiency definition as Witten's, which is given by the entropy as a fraction of the average code length,

$$\text{efficiency} = \frac{-p \log_2 p - (1 - p) \log_2(1 - p)}{pQ(p) + (1 - p) \log_2(1 - Q(p))}.$$

We tabulated the optimal probability range and the worst-case efficiency for each quantized probability value (Figure 2).

We implemented a *heterogeneous* binary arithmetic coder [3] using this probability quantization scheme. The heterogeneous binary arithmetic coder is multiplication-free, and it uses only byte-wise operations. Preliminary results show that it gives better compression performance than the QM-coder at both high-entropy range and low-entropy range.

## References:

- [1] W. Pennebaker, and J. Mitchell, *JPEG: Still Image Data Compression Standard*, Van Nostrand Reinhold, New York, 1993.
- [2] J. Witten, R. Neal, and J. Cleary, *Communications of the ACM*, June 1987 Volume 30, number 6.
- [3] K. Cheung, "Coarse-Grain Binary Arithmetic Coding", to appear.

Proability Range	Right-Shift	Effective Probability	Efficiency
0,36907-0.50000	1	<b>0 . 5</b>	<b>0.950</b>
<b>0,18193-0.36907</b>	<b>2</b>	<b>0.25</b>	<b>0.973</b>
<b>0.09053-0.18193</b>	3	<b>0.125</b>	<b>0.981</b>
<b>0.04517-0.09053</b>	4	0.0625	0.985
0.02256-0.04517	5	0.03125	0.987
0.01128-0.02256	6	0.015625	0.989
0.00564-0.01128	7	0.0078125	<b>0.990</b>
<b>0.00282-0.00564</b>	<b>8</b>	<b>0.00390625</b>	<b>0.991</b>

Figure 1 : Witten's Probability Quantization Scheme

Proability Range	Right-Shift	Effective Probability	Efficiency
0.43683-0.50000	1	<b>0 . 5</b>	<b>0.988</b>
<b>0.31018-0.43683</b>	<b>1.415</b>	<b>0.375</b>	<b>0.985</b>
<b>0.21767-0.31018</b>	<b>2</b>	<b>0.25</b>	<b>0.994</b>
<b>0.15453-0.21767</b>	<b>2.415</b>	<b>0.1875</b>	<b>0.991</b>
<b>0.10872-0.15453</b>	3	<b>0.125</b>	<b>0.996</b>
<b>0,07716-0.10872</b>	<b>3.415</b>	<b>0.09375</b>	<b>0.994</b>
<b>0.05433-0.07716</b>	4	<b>0.0625</b>	<b>0.997</b>
<b>0.03856-0.05433</b>	4.415	<b>0.046875</b>	<b>0.995</b>
<b>0.02716-0.03856</b>	5	<b>0.03125</b>	<b>0.998</b>
<b>0.01927-0.02716</b>	<b>5.415</b>	<b>0.0234375</b>	<b>0.996</b>
<b>0.01358-0.01927</b>	6	<b>0.015625</b>	<b>0.998</b>
<b>0.00964-0.01358</b>	6.415	<b>0.01171875</b>	<b>0.996</b>
<b>0.00679-0.00964</b>	7	<b>0.0078125</b>	<b>0.998</b>
<b>0.00482-0.00679</b>	<b>7.415</b>	<b>0.005859375</b>	<b>0.997</b>
<b>0.00339-0.00482</b>	<b>8</b>	<b>0.00390625</b>	<b>0.999</b>

Figure 2 : improved Probability Quantization Scheme